



Statutory FAIS Disclosure

IronFX Global South Africa (Pty) Ltd

FSP: 45276

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NAME:	IronFX Global (South Africa) (Pty) Ltd
PHYSICAL ADDRESS:	5-38 Katherine & West, 114 West Street, Sandton, 2196
WEBSITE:	www.ironfx.co.za
KI EMAIL ADDRESS:	KKangleas@ironfx.com
TELEPHONE NUMBER:	(011) 017 - 6609
FAX NUMBER:	(011) 017 - 6601

1. Company's Legal Status

IronFX Global (South Africa) (Pty) Ltd (The "FSP" hereafter) is a Private Company registered in the Republic of South Africa under registration number 2014/052812/07. As a licensed Financial Services Provider in terms of FAIS, the FSP accepts responsibility for the actions of its representatives, acting within their mandates, in the rendering of financial services as defined by the Financial Advisory and Intermediary Services Act, Act 38 of 2002 ("FAIS"). Its representatives either meet the fit and proper requirements as prescribed by FAIS or operate under appropriate supervisory procedures in accordance with FAIS. The FSP acts as a direct marketer in terms of the FAIS Act, rendering only an intermediary service.

2. Details of Key Individuals and Representatives

Key Individuals

NAME	AUTHORISED PRODUCTS
Kirgiakos Kangleas	As per table 1 below

Representatives

NAME	AUTHORISED PRODUCTS	Under Supervision
Kirgiakos Kangleas	As per table 1 below	NO
Jacobus Johannes de Wet	As per table 1 below	NO
Amar Ramith	As per table 1 below	NO
Ronald Gwenzi	As per table 1 below	YES
Sizwe Mthanthi	As per table 1 below	YES
Andrew Overmeyer	As per table 1 below	YES

Isaac Prinsloo	As per table 1 below	YES
Shuaib Tolker	As per table 1 below	YES

3. Legal status of Key Individuals and Representatives

The FSP confirms that its key individual(s) and representative(s) are mandated and entitled to render only intermediary services to you in terms of FAIS. The Key individuals and Representatives are full time employees of the FSP.

4. Complaint Handling and Compliance Queries

Should you wish to lodge a complaint against the FSP kindly refer to the Complaint Handling Form on the website which sets out the procedure.

1. Compliance Officer Information

Name:	Charmaine Van Wyk
FAIS registration number	CO 4073 CO 7018
Company	Oracle Compliance (Pty) Ltd
Physical Address:	Corner Christiaan De Wet & John Vorster Roads, Rand Park Ridge, 2156
Telephone:	(011) 794 1189
Fax:	086 579 5877
Email:	charmaine@ctb.co.za

2. FAIS Ombud

Should a complaint not be resolved to your satisfaction within six weeks by the FSP, you may forward such complaint to the Office of the FAIS Ombud for Financial Services Providers:

Name:	Ms. Noluntu Bam
Physical Address:	Sussex Office Park Ground Floor, Block B 473 Lynnwood Road cnr Lynnwood Road and Sussex Avenue

	Pretoria 0081
Postal Address:	PO Box 74571, Lynwood Ridge, 0040
Telephone:	012 762500
Email:	info@faisombud.co.za

5. Other Matters of Importance

- a. In terms of the Financial Intelligence Centre Act, 2001 FSP is obliged to report any suspicious and unusual transactions that may facilitate money laundering.
- b. It is important that you are absolutely sure that the product and transactions meet your needs and that you feel you have all the information you need before making a decision.
- c. The FSP acts solely as a direct marketer in terms of Section 15 of the FAIS General Code of Conduct. The FSP merely provides users with a trading platform, which is deemed to be an intermediary service under FAIS. The FSP does NOT make any recommendations, it does not provide guidance nor does it make any proposals related to financial products to users. The FSP does not perform any analyses of users' financial objectives nor investment risk profiles. Users must ensure that they are comfortable with the trading decisions they take, and must contact their FAIS approved financial adviser should they require any financial advice.
- d. The FSP has a suitable Professional Indemnity in place in accordance with FAIS.
- e. Waiver of rights: Users are hereby advised that no representatives of the provider or any other person may ask you, nor in any way induce you, to waive any right or benefit conferred on you by or in terms of any provision of the FAIS Act.

6. Financial Services and Products

As an Authorised Financial Services Provider, the FSP has a Category I license issued by the Financial Sector Conduct Authority in terms of FAIS, to provide advisory and intermediary services in respect of the following financial products:

	Financial Product	Advice	Intermediary Service
	CATEGORY I		
1.8	Securities and Instruments: Shares	YES*	YES
1.13	Securities and Instruments: Derivative instruments excluding warrants	YES*	YES

* Kindly note that although the FSP is licensed to render Advice, it will never make any recommendation, guidance or proposal to users. Users make their own trading / investment decision and are encouraged to contact their FAIS approved Financial Advisor should they require any financial advice prior to transacting.

7. Conflicts of Interest

In accordance with the FSP's Conflicts of Interest Management Policy, the FSP places a high priority on its clients' interests. Conflicts of interest could undermine the integrity and professionalism of the FSP and its employees and any potential or recognized instance must be identified as early as possible. Potential conflicts of interest are inherent in any business and therefore it is not the aim of the FSP to avoid all conflicts. If conflict situations cannot be avoided, the FSP will manage these conflicts equitably and in the client's best interest as an integral part of the FSP's ethos. The FSP maintains an active Conflicts of Interest Management Policy, which is available on its website.

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IronFX Global (South Africa) (Pty) Limited
5-38 Katherine & West, 114 West Street, Sandton, 2196, Johannesburg, South Africa.
Telephone: +27 11 0176600 | Fax: +27 11 0176601
Website: www.IronFX.co.za